


SHEET 1 OF 4

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (PTO-1449)			ATTY. DOCKET NO. <b>47440-027</b>		SERIAL NO. <b>09/672,793</b>		
			APPLICANT <b>Daniel BARICH, et al.</b>				
			FILING DATE <b>September 29, 2000</b>		GROUP <b>2856</b>		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
CDM	5631831	5/20/97	Bird et al.				
	5717595	2/10/98	Cherrington et al.				
	5964811	10/12/99	Ishii et al.				
	6052631	4/18/00	Busch et al.				
	6070111	5/30/00	Takakura et al.				
	6101433	8/8/00	Flicker, Jr.				
	4247757	1/27/81	Crump, Jr.				
	5008661	4/16/91	Raj				
	5956077	9/21/99	Qureshi et al.				
	5256966	10/26/93	Edwards				
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
CDM	International Search Report dated January 8, 2001 corresponding to related co-pending international application						
	International Search Report dated January 29, 2001 corresponding to co-pending international application relating to U.S. Application No. 09/676,752.						
	Appendix B to DOT-E 12095 "Alternative Tank Car Qualification Program", pp. 1-12						
	Appendix R, AAR Manual of Standards and Recommended Practices, Specifications for Tank Cars, pp. 319-331						
	AAR Memo dated 4/15/99, Casualty Prevention Circular, "New Requirements for Inspections of Stub Sills on Tank Cars", CPC-1094						
	AAR Memo dated 11/15/99, Casualty Prevention Circular, "Inspections of Stub Sills on Tank Cars-Availability of SILSPEC3 Software and Correction to Form SS-3", CPC-1100						
CDM	AAR Memo dated 8/10/94, O&M Circular No. 1, Supplement No. 2, "Tank Car Stub Sill Inspection Program", CPC-1030						
EXAMINER			DATE CONSIDERED 12/20/01				

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (PTO-1449)			AITY. DOCKET NO. <b>47440-027</b>		SERIAL NO. <b>09/672,793</b>		
			APPLICANT <b>Daniel BARICH, et al.</b>				
			FILING DATE <b>September 29, 2000</b>		GROUP <b>2856</b>		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
CDA	5036707	8/6/91	Paciej et al.				
	4368644	1/18/83	Wentzell et al.				
	4658649	4/21/87	Brook				
	5648619	7/15/97	Gustafsson et al.				
	4490833	12/25/84	Inomata et al.				
CDA	5619423	4/8/97	Scrantz				
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CDA	AAR Memo dated 7/17/92, O&M Circular No. 1, "Tank Car Stub Sill Inspection Program"						
EXAMINER				DATE CONSIDERED 12/20/04			

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				APPLICANT Daniel BARICH, et al.			
				FILING DATE September 29, 2000		GROUP 2856	
U.S. PATENT DOCUMENTS							
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FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER	49 Code of Federal Regulations, Subpart F Sec. 180.500-509 (Oct. 1, 2000 Ed.)						
	49 Code of Federal Regulations, Sec. 179.100-6, 179.101-1, 179.7(d) (Oct. 1, 2000 Ed.)						
	Rule 88 - Interchange of Freight Cars, "Mechanical Requirements For Acceptance", pp. 518-532						
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	Co-Pending U.S. Application Serial No. 09/676,752 (Ref. No. 47440-013 at top left corner of first page of application)						
	Co-Pending U.S. Application Serial No. 09/672,099 (Ref. No. 47440-016 at top left corner of first page of application)						
	Paper No. 6 (Office Action dated 10/24/01) in Co-Pending U.S. Application Serial No. 09/672,099						
EXAMINER				DATE CONSIDERED 12/20/01			

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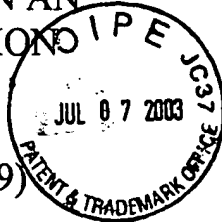
SHEET 4 OF 4

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**47440-027**

SERIAL NO.  
**09/672,793**

APPLICANT  
**Daniel BARICH, et al.**

FILING DATE  
**September 29,  
2000**

GROUP  
**2856**

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CDA	5631831	5/20/97	Bird et al.			
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	5008661	4/16/91	Raj			
	5956077	9/21/99	Qureshi et al.			
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	4658649	4/21/87	Brook			
	5648619	7/15/97	Gustafsson et al.			
	4490833	12/25/84	Inomata et al.			
<i>COA</i>	5619423	4/8/97	Scrantz			

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	AAR Memo dated 10/18/96, Casualty Prevention Circular, "Tank Cars Manufactured to the RIC-RIC Stub Sill Design", CPC-1070
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<i>COA</i>	EO-17, FRA Order No. 17, Notices 1 and 2, "Owners of Railroad Tank Cars"
<i>COA</i>	AAR Memo dated 7/17/92, O&M Circular No. 1, "Tank Car Stub Sill Inspection Program"
EXAMINER	DATE CONSIDERED <i>12/20/04</i>

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**09/672,793**

APPLICANT  
**Daniel BARICH, et al.**

**FILING DATE**  
**September 29,**  
**2000**

**GROUP  
2856**

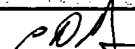

## U.S. PATENT DOCUMENTS

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# FOREIGN PATENT DOCUMENTS

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						Yes	No

**OTHER ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

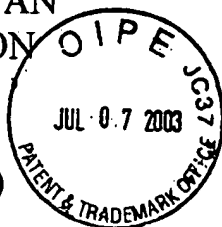
	49 Code of Federal Regulations, Subpart F Sec. 180.500-509 (Oct. 1, 2000 Ed.)
	49 Code of Federal Regulations, Sec. 179.100-6, 179.101-1, 179.7(d) (Oct. 1, 2000 Ed.)
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	AAR Memo dated 9/7/99, Casualty Prevention Circular, "New Requirements for Inspections of Stub Sills on Tank Cars", CPC-1097
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	Paper No. 6 (Office Action dated 10/24/01) in Co-Pending U.S. Application Serial No. 09/672,099

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FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>CDM</i>	February 26, 2002 Amendment responsive to Paper No. 6 (Office Action dated 10/24/01) in Co-Pending U.S. Application Serial No. 09/672,099
	April 26, 2002 Supplemental Amendment responsive to Paper No. 6 (Office Action dated 10/24/01) in Co-Pending U.S. Application Serial No. 09/672,099
	Paper No. 13 (Office Action dated 9/4/02) in Co-Pending U.S. Application Serial No. 09/672,099
	February 4, 2003 Response Under 37 CFR 1.116 to Paper No. 13 (Office Action dated 9/4/02) in Co-Pending U.S. Application Serial No. 09/672,099
	Paper No. 17 (Notice of Allowance dated 2/24/03) in Co-Pending U.S. Application Serial No. 09/672,099
<i>CDM</i> EXAMINER	49 Code of Federal Regulations, Subpart F Sections 180.509-180.511 (Oct. 1, 1999 Ed.)
<i>CDM</i>	DATE CONSIDERED <i>12/20/04</i>

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APPLICANT <b>Daniel BARICH, et al.</b>				FILING DATE <b>September 29, 2000</b>		GROUP <b>2857</b>	

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>CDL</i>	5631831	5/20/97	Bird et al.			
	5717595	2/10/98	Charrington et al.			
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	4247757	1/27/81	Crump, Jr.			
	5008661	4/16/91	Raj			
	5956077	9/21/99	Qureshi et al.			
	<i>CDL</i>	5256966	10/26/93	Edwards		

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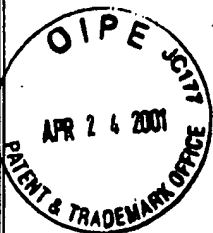
  

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<i>CDL</i>	International Search Report dated January 29, 2001
<i>CDL</i>	Appendix B to DOT-E 12095 "Alternative Tank Car Qualification Program", pp. 1-12
<i>CDL</i>	Appendix R, AAR Manual of Standards and Recommended Practices, Specifications for Tank Cars, pp. 319-331
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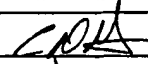

  

EXAMINER <i>CDL</i>	DATE CONSIDERED <b>8/22/2002</b>
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APPLICANT <b>Daniel BARICH, et al.</b>							
FILING DATE <b>September 29, 2000</b>				GROUP <b>2857</b>			

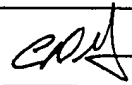
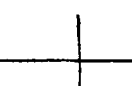
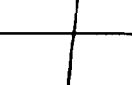
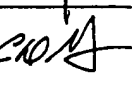

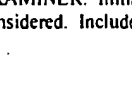
  

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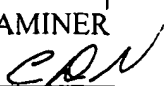
  

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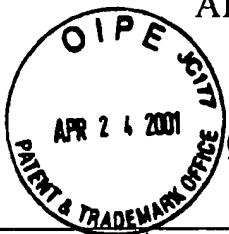

  

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<b>U.S. PATENT DOCUMENTS</b>							
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